

## Audit Committee Plan 2019 – 2020

Terms of Reference	Report Title	Report Summary	Report Author	Date of Meeting
Governance & Assurance	<b>Chair of Scrutiny Programme Committee.</b>	Chair of the Scrutiny Programme Committee to provide a report on the work of scrutiny for the Municipal year 2018-19 and highlighted scrutiny activities planned for 2019/20.	Brij Madahar	11 Feb 2020
Risk Management & Performance	<b>Presentation - Update on Internal Control Environment (Including Risk Management).</b>	Presentation - Director of Place.	Martin Nicholls	11 Feb 2020
Internal Audit	<b>Internal Audit Annual Plan Methodology Report 2020/21.</b>	This report provides a briefing to the Audit Committee on the methodology used to prepare the Internal Audit Annual Plan in advance of the Annual Plan 2020/21.	Simon Cockings	11 Feb 2020
Internal Audit	<b>Internal Audit Recommendation Implementation Quarter 3 Report.</b>	This report provides committee with the status of the recommendations made in those audits where the follow-up's has been undertaken in Q3 2019/20, to allow the Audit Committee to monitor the implementation of recommendations made by Internal Audit.	Simon Cockings	11 Feb 2020
Governance & Assurance	<b>Governance Group Update Report.</b>	Update report from the newly formed Governance Group.	Adam Hill	11 Feb 2020

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Risk Management & Performance	<b>Overview of the Overall Status of Risk - Quarter 3 2019/20.</b>	The report presents an overview of the status of risk in the Council during Quarter 3 2019/20 to provide assurance to the Committee on the operation of the risk management policy and framework within the Council.	Richard Rowlands	11 Feb 2020
Financial Reporting	<b>Trusts &amp; Charities Report 2018/19.</b>	Trusts & Charities Report 2018/19.	Jeffrey Dong	11 Feb 2020
Internal Audit	<b>Internal Audit Annual Plan 2019/20 - Quarter 3 Monitoring Report for the Period 1 October 2019 to 31 December 2019.</b>	This report shows the audits finalised and any other work undertaken by the Internal Audit Section during the period 1 October 2019 to 31 December 2019.	Simon Cockings	10 Mar 2020
Internal Audit	<b>Moderate Report - Employment of Agency Staff.</b>	Follow Up report following a previous moderate rating.	Adrian Chard	10 Mar 2020
Internal Audit	<b>Moderate Report - Fleet Maintenance.</b>	Follow Up report following a previous moderate rating.	Mark Barrow	10 Mar 2020
Internal Audit	<b>Moderate Report - Gwyrosydd Primary School.</b>	Follow Up report following a previous moderate rating.	Jonathan Atter	10 Mar 2020
Internal Audit	<b>Moderate Report - Heol y Gors Plant.</b>	Follow Up report following a previous moderate rating.	Rob Myerscough	10 Mar 2020

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Internal Audit	<b>Moderate Report - Ysgol Pen y Bryn.</b>	Follow Up report following a previous moderate rating.	Gethin Sutton	10 Mar 2020
External Audit	<b>Wales Audit Office Annual Audit Letter 2018/19.</b>	WAO Annual Audit Letter 2018/19.	Jason Garcia	10 Mar 2020
Governance & Assurance	<b>Appointment of Additional Lay Member to Audit Committee.</b>	Appointment of second Lay Member.	Simon Cockings	14 Apr 2020
Internal Audit	<b>Internal Audit Charter 2020/21.</b>	This report outlines the background to the Public Sector Internal Auditing Standards (PSIAS) which were introduced with effect from 1st April 2013 and presents an Internal Audit Charter for approval by the Committee.	Simon Cockings	14 Apr 2020
Internal Audit	<b>Internal Audit Moderate Rating Follow Up Report - Social Care Contracts Update.</b>	Follow Up report following a previous moderate rating.	Peter Field	14 Apr 2020
Internal Audit	<b>Internal Audit Section - Fraud Function Anti-Fraud Plan for 2020/2021.</b>	This report sets out the planned areas of activity for the Internal Audit Section's Fraud Function for 2020/21 and is designed to provide a strategic view of the areas that will be subject to examination.	Jeff Fish, Jonathon Rogers	14 Apr 2020
Internal Audit	<b>Internal Audit Strategy &amp; Annual Plan 2020/21.</b>	This report presents the Internal Audit Annual Plan and Internal Audit Strategy for 2020/21 to the Audit Committee for approval.	Simon Cockings	14 Apr 2020

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Risk Management & Performance	<b>Partnership Performance.</b>	Review the mechanisms for assessing and scrutinising the risk associated with partnerships.	Adam Hill	14 Apr 2020
Risk Management & Performance	<b>Performance Management Framework.</b>	Performance Management Framework Report.	Richard Rowlands	14 Apr 2020
Risk Management & Performance	<b>Presentation - Update on Internal Control Environment (Including Risk Management).</b>	Presentation by the Deputy Chief Executive.	Adam Hill	14 Apr 2020
Financial Reporting	<b>Revenue and Capital Budget Monitoring 3rd Quarter 2019/20.</b>	To report on financial monitoring of the 2019/20 revenue and capital budgets, including the delivery of budget savings.	Ben Smith	14 Apr 2020
External Audit	<b>Wales Audit Office - 2020 Audit Plan - City and County of Swansea Pension Fund.</b>	External Audit Report.	Jason Garcia	14 Apr 2020
External Audit	<b>Wales Audit Office - 2020 Audit Plan - City and County of Swansea.</b>	External Audit Report.	Jason Garcia	14 Apr 2020
Risk Management & Performance	<b>Wales Audit Office Proposals for Improvement: Six-month Status Update - July 2019 - December 2019.</b>	The report presents an overview of the status of Swansea Council's response to earlier proposals for improvement made by WAO to provide assurance to the Committee on progress.	Richard Rowlands	14 Apr 2020

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Risk Management & Performance	<b>Wales Audit Office - Local Government Use of Data Report – City &amp; County of Swansea.</b>	<p>Wales Audit Office - Local Government Use of Data Report – City &amp; County of Swansea.</p> <p>*Note – this report has been considered by Service Improvement &amp; Finance Performance Panel and the Panel is awaiting an Action Plan arising from the report.</p>		
Risk Management & Performance	<b>Digital Strategy – Progress and Performance.</b>	<p>Digital is one of the four key strands of Sustainable Swansea and an annual update will be provided to Scrutiny in December on the whole programme, including digital.</p> <p>*Note – this report will be considered by Scrutiny.</p>		